

**REQUEST FOR APPLICATIONS (RFA)
DCRL -2024-U-0074**

**Fiscal Year 2025
Community-Based Child Abuse Prevention (CBCAP)
Home Visitation Program/Parent Education & Supports**

The Child and Family Services Agency (CFSA)
Contracts and Procurement Administration (CPA) invites the
submission of applications for funding through the
District of Columbia.

DEADLINES AND IMPORTANT DATES

Announcement Date (NOGA): 8/2/24

Request for Applications Release Date: 8/16/24

Application Submission Deadline: 2:00pm on 9/6/24

Award Announcement By: 10/1/24

Late Applications Will Not Be Forwarded to the Review Panel.

Pursuant to the Child and Family Services Agency Prevention Services Grants Act of 2019, D.C. Law 17-199, (D.C. Law 2-22; D.C. Official Code § 4-1303.01 et seq.), the District of Columbia Child and Family Services Agency (“CFSA”) invites qualified applicants to submit applications for grant funding to develop, operate and/or expand an evidence-based home visiting or parent education program designed to reduce the risk factors of child abuse and neglect by promoting protective factors that strengthen and support families.

The proposed home visiting or parent education model will target families who may have histories of trauma, adverse childhood experiences, intimate partner violence, and mental health and/or substance abuse issues. Services shall support expecting parents and families with young children who live in communities that face greater barriers to positive outcomes, and fewer resources to support child and family well-being. This home visiting/parent education initiative includes home visiting programs, parent education and support, and community-level approaches to enhance outcomes and well-being for young children and their families.

Funds will support the District's current array of primary prevention services to move families upstream. The program shall serve to prevent the entry of families into the child welfare system through the provision of home-based and community-based services. CFSA will fund evidence-based approaches that strengthen the parent-child bond, promote healthy child development, and enhance family functioning.

Services will be utilized by non-CFSA involved families residing in the District. Funds will support the cost of supportive services for up to one year. During the first year of the program, specific service targets will be set for all programs. Service targets will range from 50 to 125 families enrolled for services depending on the type of program.

Family well-being and building protective factors are at the core of the home visiting/parent education initiative. Strong family units serve as the building blocks of a stable community, fostering emotional support, resilience, and a sense of belonging. When families are supported with adequate resources, they contribute positively to the overall well-being of the community. Simultaneously, protective factors provide the necessary attributes for families to thrive, fostering an environment where children can grow, learn and be nurtured. Ultimately, home visiting and parent education programming increases the well-being and protective factors of families to encourage strong families and minimize risk factors.

The Center for Social Policy (CSSP) has conducted research to suggest a Strengthening Families Framework¹ that recognizes protective factors can significantly reduce the incidences of childhood maltreatment and trauma. The Strengthening Families Protective Factors Framework identifies five protective factors that promote positive family outcomes, which include:

- **Parental Resilience** – A parent's ability to cope effectively with the various challenges of parenting, and their ability to overcome life's challenges,

¹ Center for the Study of Social Policy – Strengthening Families Protective Factors Framework.

- **Social Connections** – Positive relationships with friends, family members, neighbors, and others who can provide emotional, informational, instrumental and spiritual support
- **Knowledge of Parenting and Child Development** – Understanding child development and parenting strategies that support physical, cognitive, language, social and emotional development. Examples include parenting education, education classes, or home visitation.
- **Concrete Support in Times of Need** – Access to concrete support and services that address a family’s needs and help minimize stress caused by challenges.
- **Children’s Social and Emotional Development** – A child’s ability to interact effectively with others positively and articulate their feelings.

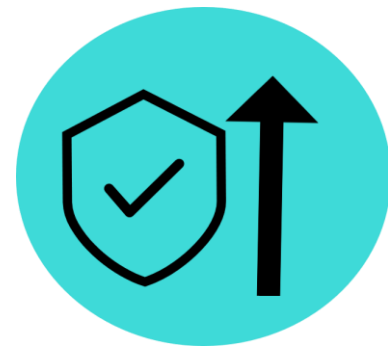
Figure 1. Mission of CBCAP Home Visiting/Parent Education & Supports



Improve resident & family well-being
Definition for the purpose of this grant:

Well-being refers to the overall state of an individual's or family's health, happiness, and prosperity. Factors contributing to well-being include good health, positive emotions, a sense of purpose and meaning in life, strong social connections, financial stability, and a safe and supportive environment.

Primary measurements for the purpose of this grant:



Increase the Families Protective Factors
Definition for the purpose of this grant:

Protective factors are characteristics or strengths of individuals, families, communities or societies that act to mitigate risks and promote positive well-being and healthy development. Examples of protective factors include parental resilience, social connections, knowledge of parenting and child development, and social/emotional competence of children.

Primary measurements for the purpose of this grant:
Retrospective Protective Factors Survey, 2nd Edition

Through the home visitation and parent education programs, CFSA aims to enhance family well-being and build protective factors through three avenues:

- A) Offering **service navigation** by connecting families with District government agencies and other community-based organizations, including Collaborative case management services when needed;²
- B) Offering **wrap-around services** to participating families, such as food, clothing, toiletries, or referring to another resource;
- C) Delivering **high-fidelity, nationally recognized, evidence-based programs** aimed at improving well-being and building protective factors.³ The evidence-based program shall aim to provide families with home visitation, parent education, and prevention services.

Service navigation aims to help families understand their options, access appropriate services, and overcome barriers they may encounter along the way. For example, a provider may link a family in need of housing support to available resources in the District.

Wraparound services, including vital resources like food, are essential for family well-being. The applicant, when resources are available, will play a key role in supporting families through any available onsite wraparound services.

At least one nationally recognized evidence-based model, listed as “promising” to “well-supported” by the California Evidence-Based Clearinghouse (CEBC) for Child Welfare, will be used by the Grantee to provide services to children and families throughout the entire grant period.

Selection and notification of grant awards is scheduled to occur no later than **October 1, 2024**. Up to four (4) grants will be awarded in fiscal year 2025. Option year grant awards will be subject to the availability of funds in the District’s Local Budget and Financial Plan for subsequent fiscal years. Grants will range from \$75,000 to \$260,000 per award. Applicants shall demonstrate the capacity to have the project fully functioning within forty-five (45) days following notification of the grant award.

² Service navigation is the act of helping connect families and residents to service providers and resources available in their local communities, beyond the individual provider.

³ Nationally recognized, evidence-based programs are listed as “promising” to “well-supported” by the California Evidence-Based Clearinghouse for Child Welfare.

TABLE OF CONTENTS

SECTION I: GENERAL INFORMATION	7
Background and Need	8
SECTION II: AWARD INFORMATION	9
Source of Grant Funding	9
Amount of Award	9
Number of Awards and Individual Amounts	9
Start Dates and Periods	9
Explanations to Prospective Applicants	9
SECTION III: APPLICATION AND SUBMISSION INFORMATION	10
Application Forms and Content Format	10
Applicant Checklist	10
Description of Application Sections	Error! Bookmark not defined.
Applicant Profile	12
Table of Contents	12
Application Summary	12
Project Narrative	12
Organization, Experience and Qualifications of Applicant	13
Program Budget and Budget Narrative	13
Appendices	15
Request for Application Provisions	16
Application Identification	16
Application Submission Date and Time	17
SECTION IV: PROGRAM AND ADMINISTRATIVE REQUIREMENTS	17
Use of Funds	17
SECTION V: ELIGIBILITY INFORMATION	18
Eligible Applicants	18
Target Recipient Audience	20
Cost Sharing or Match	20
SECTION VI: GENERAL PROVISIONS	21
Confidentiality of Records	21
HIPAA PRIVACY COMPLIANCE	21
Grantee Responsibilities	35
Compliance	36
Insurance	36
Audits	38
Nondiscrimination in the Delivery of Services	38
SECTION VII: PROGRAM SCOPE	38
Program Description	38
Program Objectives	39
Applicant Responsibilities/Scope of Work	40
Staff Requirements	40
Training	41
Performance Standards and Quality Assurance	41
Monitoring	41
Evaluation	41

Disciplinary Action.....	42
SECTION VIII: REVIEW AND SCORING OF APPLICATIONS.....	42
Applicant Review Panel.....	42
Technical Rating Scale.....	42
Scoring Criteria.....	43
Criterion 1: Community Need (Total 25 Points).....	43
Criterion 2: Work Plan (Total 35 Points).....	43
Criterion 3: Community Impact (Total 10 Points).....	44
Criterion 4: Organizational Capabilities (Total 25 Points).....	44
Criterion 5: Budget Allocation (Total 5 Points).....	45
Decision on Awards.....	45
Anticipated Announcement and Award Dates.....	45
ATTACHMENT A – WORK PLAN.....	14
ATTACHMENT B - APPLICANT PROFILE.....	46
ATTACHMENT C - STAFFING PLAN.....	47
ATTACHMENT D - BUDGET.....	48
ATTACHMENT E – STATEMENT OF CERTIFICATION.....	50
ATTACHMENT F – ACKNOWLEDGEMENT.....	51
Applicable District and Federal Statutes and Regulations Acknowledgement.....	51

**District of Columbia
Child and Family Services Agency (CFSA)**

Request for Applications (RFA)

**Fiscal Year 2025
Community-Based Child Abuse Prevention (CBCAP)
Home Visitation Program/Parent Education & Supports**

SECTION I: GENERAL INFORMATION

The Child and Family Services Agency (CFSA) has funds available to develop and implement an evidence-based home visiting or parent education program. CFSA will award four (4) grants. This program shall support the continuity of the District's current array of prevention resources supporting the need for programs that focus on the connection between at-risk children ages 0-5 years and their parents/caregivers. The program shall serve to prevent the entry of families into the District's child welfare system through the provision of intensive long-term home and/or community-based services.

The goal of the program is to assist parents/caregivers with the tools to strengthen the parent-child bond, promote healthy child development, and enhance family functioning. CFSA will fund an innovative and community-based child abuse and neglect prevention program that utilizes innovative approaches including but not limited to; video technology, motivational interviewing, tailored curriculum, and activities for ongoing engagement based on an evidence-based or evidence-informed model. This unique prevention strategy seeks to strengthen the attachment between parents and their children, thereby improving the mental and emotional health of children during the early years of their lives, increasing protective factors and reducing the risk of child abuse and neglect.

Services provided under the grant are designed to support primary prevention activities for District of Columbia parents who may be at risk of involvement with CFSA or who have previously received services from CFSA. Applicants may propose a model that is part of a larger existing program within their organization. Successful applicants shall be ready to accept referrals and begin providing services within forty-five (45) days of notification of grant award.

CFSA will fund programs with an evidence-based or evidence-informed home visiting and/or parent education model that allows parents immediate access to concrete resources that can strengthen the family, facilitate collaborative partnerships, and minimize the risk of child maltreatment. Preference will be given to those models that allow for long-term engagement, continued support for families after services have ended, as well as a strong evaluation component. During the period of funding, Grantees shall seek out additional resources to ensure continuity of programs beyond the one-year award.

Background and Need

CFSA is the District's Title IV-E Foster Care Agency responsible for investigating reports of child abuse and neglect and ensuring child safety. CFSA also provides foster care, adoption, and supportive community-based services to enhance the safety, permanence, and well-being of abused, neglected, and at-risk children and their families in the District to strengthen families and prevent maltreatment. CFSA seeks to support the highest quality of community-based services, to increase the number of families who receive community preventive and support services to prevent agency involvement, and to expand the network of resources community-based supports providing services to at-risk children and their families.

In FY21, CFSA joined the Thriving Families, Safer Children (TFSC): A National Commitment to Well-Being Initiative. The TFSC movement includes child welfare sites in 22 states, is supported by the U.S. Children's Bureau, the National Center for Injury Prevention and Control at the Centers for Disease Control and Prevention (CDC) and organizations including Casey Family Programs, Prevent Child Abuse America, and the Annie E. Casey Foundation. Its nationwide goal is to create a more just and equitable Child and Family Well-Being System.

As a TFSC Round 2 jurisdiction, CFSA is committed to transforming from child welfare to child and family well-being through the local initiative, Keeping DC Families Together (KDCFT). Officially launched in FY23, KDCFT prioritizes empowering the community, strengthening families, and mitigating trauma to enhance child and family well-being. As part of KDCFT, CFSA aims to appropriately reduce its involvement in families' lives and adopt a community-driven approach to meeting families' needs, thereby increasing safety, stability, and well-being for District families.

CFSA's deep understanding of the needs of the populations we serve has facilitated our ability to effectively tailor services and identify areas for additional resources needed to prevent child abuse and neglect. Further, CFSA receives federal funding through the Administration for Children and Families (ACF)'s Community-Based Child Abuse Prevention (CBCAP) grant to support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.⁴ Activities funded under this grant will be in alignment with the objectives and federal performance requirements of CBCAP grantees.

⁴Community-Based Child Abuse Prevention (CBCAP) Grants: <https://www.acf.hhs.gov/cb/resource/cbcap-state-grants>

SECTION II: AWARD INFORMATION

Source of Grant Funding

Funding is made available under the District of Columbia Fiscal Year 2025 (FY25) Budget Support Act of 2019.

Amount of Award

Each award has a funding availability of up to \$260,000 per year for a maximum of five (5) years.

Number of Awards and Individual Amounts

CFSA intends to award up to four (4) grants for the project. CFSA reserves the right to assign the amount of individual awards. Individual grant awards shall not be less than \$75,000 or exceed \$260,000.

CFSA fully expects each Applicant to submit a detailed budget breakdown and usage of all available funds (See Attachment D).

Start Dates and Periods

The grant period is for one (1) year from the date of grant execution, subject to the appropriation of funds. The District may extend the term of this Grant Agreement for a period of four (4) additional one (1) year option periods, or successive fractions thereof by written notice to the Grantee, shall further appropriations be made available. The notice does not commit the District to an extension. The exercise of this option is subject to the availability of funds at the time of the exercise of this option. The District reserves the right to issue a new grant announcement.

Explanations to Prospective Applicants

If a prospective applicant has any questions relating to this RFA, the prospective applicant shall submit the question electronically via the District's E-Sourcing system's (PASS) instructions. The prospective applicant shall submit questions no later than August 22, 2024. The District may not consider any questions received after August 22, 2024. The District will furnish responses via the District's E-Sourcing system's messaging process. An amendment to the RFA will be issued if the CO decides that information is necessary in submitting offers, or if the lack of it would be prejudicial to any prospective applicant. Oral explanations or instructions given by District officials before the award of the grant will not be binding.

At any time, the Agency may suspend or terminate this RFA. CFSA reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA. Prospective applicants are solely responsible for checking the District's E-Sourcing system (PASS) and the OPGS website (<http://www.opgs.dc.gov>) for any additional changes or updates to the RFA and/or the application process.

The Agency shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the Applicant's sole responsibility.

SECTION III: APPLICATION AND SUBMISSION INFORMATION

Application Forms and Content Format

Applicant Checklist

- The Applicant organization/entity has responded to all sections of the Request for Application (RFA).
- The Applicant Profile, found in Attachment B, contains all the information requested and is affixed to the front of the application.
- **The conflict of interest policy:** A conflict of interest arises when a person in a position of authority over an organization, such as a director, officer, or manager, may benefit personally from a decision he or she could make. A conflict of interest policy consists of a set of procedures to follow to avoid the possibility that those in positions of authority over an organization may receive an appropriate benefit. The applicant shall document their conflict of interest policy.
- The Program Budget is complete and complies with the Budget form in Attachment D of the RFA. The budget narrative is complete and describes the category of items proposed. It shall project income and expenses for the current fiscal year.
- **Separation of duties policy:** This statement shall indicate how the organization separates financial transactions/duties between people within the organization for the purposes of preventing fraud and or waste. This policy shall reflect the process of how major financial processes are handled such as assets handling, bookkeeping, and transaction comparison or review.
- **Financial Statements:** The Applicant shall provide a copy of its most recent and complete set of audited or unaudited financial statements available for their organization. If audited financial statements have never been prepared due to the size or newness of an organization, the applicant shall provide, at a minimum, an Organizational Budget, an Income Statement (or Profit and Loss Statement), and a Balance Sheet certified by an authorized representative of the organization, and any letters, filings, etc. submitted to the IRS within the three (3) years before the date of the grant application.
- **Tax Exemption Letter (if applicable):** Provide a copy of your organization's tax status.
- The application is on 8 ½ by 11-inch paper, double-spaced, on one side (no single spacing). Applications that do not conform to this requirement will not be forwarded to the review panel. The Application Summary section is complete and is within the page limit for this section of the RFA submission.
- The Organizational Experience and Qualifications of Applicant are complete and within the 2-page limit for this section of the RFA submission.

- The Project Narrative section is complete and is within the 10-page limit for this section of the RFA submission.
- **The Applicant is submitting the required application electronically using the District's Ariba E-Sourcing system (PASS).**
- The application conforms to the "Application Format" of the RFA. **The review panel will not review applications that do not conform to the application format.**
- The appropriate appendices are included. These shall include EEO, First Source Employment Agreement, Tax Cert. Affidavit (OTR / DOES); and can include program descriptions, staff qualifications, individual resumes, licenses (if applicable), and other supporting documentation.
- The application is submitted to Child and Family Services Agency, Grants and Procurement Administration, no later than **2:00p.m.**, on the deadline date of **September 6, 2024.**

All grantees shall comply with the District of Columbia's drug-free workplace certification requirement (29 DCMR § 8207). By submission of its application, the Applicant is certifying and agreeing to comply with 29 DCMR § 8207. Failure to comply with the requirements may render a grantee subject to suspension of grant payments, termination of the grant or other available legal remedies.

Applicants are required to follow the format below and each proposal shall contain the following information:

- Applicant Profile (See Attachment B)
- Table of Contents (Not counted in page total)
- Application Summary (Not to exceed 2 pages)
- Brief Program Overview (Not to exceed 1 page)
- Project Narrative (Not to exceed 10 pages)
- Evaluation Plan (Not to exceed 5 pages)
- Collaboration (Not to exceed 2 pages)
- Sustainability Plan (Not to exceed 2 pages)
- Organization, Experience and Qualifications of Applicant (Not to exceed 2 pages)
- Staffing Plan (Not counted in page total, See Attachment C)
- Program Budget & Budget Narrative (Not counted in page total, See Attachment D)
- Statement of Certification (Not included in page total, See Attachment F)
- Work Plan (Attachment A)
- Acknowledgement of District and Federal Statutes (Signature) (See Attachment G)
- Appendices (Resumes, Organization Chart, Position Descriptions) (Not counted in page total)

SECTION IV: APPLICATION REQUIREMENTS

The purpose and content of each section is described below. Applicants shall include all information needed to adequately describe their objectives and plans for services. It is important that applications reflect continuity among the goals and objectives, program design, work plan of activities, and that the budget demonstrates the level of effort required for the proposed services.

Project Narrative

Applicant Profile

Each application shall include an Applicant Profile, which identifies the Applicant, type of organization, project service area and the amount of grant funds requested. **See Attachment B.**

Table of Contents

The Table of Contents shall list major sections of the application with quick reference page indexing.

Application Summary

This section of the application shall be brief and serve as the cornerstone of the application. The application summary shall highlight the major aspects of the objectives that are discussed in depth in other sections of the application.

Project Description

This section of the application shall contain the narrative that justifies and describes the project to be implemented. The project description shall include the following:

1. Target population to be served (including ages and location);
2. Understanding needs of target population(s) to be served;
3. Program objectives for the RFA;
4. Specific service/programs to be provided;
5. Identification of evidence-based approaches or promising practices;
6. Work plan for activities (specify the sequential steps that you plan to initiate);
7. Number of clients to be served over grant period;
8. Understanding of demographics of population being served;
9. Demonstrate your organization's capacity and past experience to implement the proposed project.
10. Extent to which access barriers for the target population will be addressed;
11. How funds will be distributed consistent with the grant and monetary expenditures; and
12. Quality assurance mechanisms.

Additionally, this section shall include a description of how the Applicant intends to implement the home visiting and/or parent education program key components: 1) service navigation; 2) the provision of wraparound services; and 3) the implementation of at least one nationally recognized,

evidence based program listed as “promising” to “well-supported” by the California Evidence-Based Clearinghouse (CEBC) for Child Welfare. CFSA may approve programs not listed in the CEBC, if deemed appropriate for programming. It shall be succinct, self-explanatory and well organized.

- Identify and describe the community or communities that will be served through this project.
- Describe the applicant’s experience working in the community or communities that will be served through this project.
- Describe your plan for providing service navigation through CBCAP programming, outlining how parents/caregivers will be linked with community-based organizations, and detailing the process of entering, receiving, and managing electronic referrals through CFSA's designated third-party referral platform.
- Describe your vision for providing wraparound services;
- Select at least one evidence-based program recognized as “promising” or "well-supported" by California Evidence-Based Clearinghouse for Child Welfare. Clarify your rationale for selecting this program and strategy for implementing it.
- Describe how the proposed project meets the requirements in the Scope of Services Section (please see Performance Requirements Section for more details).
- Outline the rationale for selecting the proposed activities and objective, including an assessment of the current needs and assets in the community. Describe how strategies are based upon evidence-based, evidenced-informed or promising practices/programs.
- Describe how participants will be recruited, enrolled, and retained in the program. What community engagement efforts will you conduct to get people in the door? How will you keep them coming back?
- Indicate plans for sustainability of the initiative beyond the projected funding period.

Organization, Experience and Qualifications of Applicant

Provide information on the applicant organization’s current mission and structure, scope of current activities; and, describe how these all contribute to the ability of the organization to conduct the program requirements and meet program expectations.

- Describe the applicant’s experience providing services that support well-being and building protective factors and how this will translate to this initiative.
- Describe the applicant’s experience engaging communities to improve well-being and protective factors outcomes.
- Describe the staff acceptance, readiness to implement, feasibility of implementation and sustainment.
- Describe the applicant’s accounting structure. The structure shall demonstrate the organization’s ability to maintain effective internal controls and demonstrate the ability to provide accurate and complete information about all financial transactions related to this program.

- Describe the applicant’s data entry, data quality, and continuous quality improvement structure. The structure shall demonstrate the organization’s ability to maintain reliable data and utilize that data to improve the quality of services.

Needs Assessment

In this section, the applicant will describe targeted community need the proposed home visiting and/or parent education program will address by answering the following questions:

- What are your community’s socio-economic strengths, resources, gaps, and needs that impact child and family well-being?
- How has your agency assessed the current community strengths, resources, gaps, and needs?
- How will the proposed service meet community needs and gaps, including reducing risk factors for child abuse and neglect?
 - How does this home visitation and/or parent education program fill a gap in the community’s existing continuum of services?

Partnerships Description

In this section, the applicant shall describe the plan to involve other key partners in their work.

- Describe the applicant’s experience working collaboratively with government agencies, including health, social service, education and public safety, to implement social service programs.
- Describe the applicant’s experience working with agencies and organizations in other sectors to advance a community goal and achieve improved community outcomes.
- Describe plans for establishing a new, or engaging an existing, cross-sector network of partners to support the implementation, and evaluation, if applicable, of the applicant’s program.
- As appropriate, identify meaningful support and collaboration with key stakeholders in planning, designing and implementing all activities, including development of the application.

Project Attachments

Work Plan

The Work Plan is required (Attachment A). The proposed work plan outlines the Applicant's approach to implementing the key components of CBCAP home visiting and/or parenting education program, which include: 1) facilitating service navigation; 2) providing wraparound services; and 3) implementing at least one nationally recognized, evidence-based program acknowledged as “promising” to “well-supported” by the California Evidence-Based Clearinghouse for Child Welfare, throughout the grant period, while ensuring high fidelity to the program’s original model. The plan shall specify the chosen evidence-based program and detail the process of monitoring fidelity on a monthly basis.

- The work plan shall include a chronological list and description of activities to be performed. Each activity shall have an identified responsible staff, and target completion dates.
- The work plan shall include process objectives. Objectives shall be SMART (Specific, Measurable, Achievable, Relevant, and Time-Framed). Each objective shall be tied to resident/family well-being and/or community safety.

Partnerships Documentation

Applicant may provide letters of commitment or support from other agencies and organizations pertinent to the success of the proposed project (*no templated provided*). This is optional.

Organizational Information

- A one-page organization chart is required (*no template provided*).
- The applicant's staffing plan shall be submitted (*no template provided*). The staffing plan shall describe staff qualifications and include type and number of FTEs.

Program Budget and Budget Narrative

Standard budget forms are provided in **Attachment D**. The budget for this application shall contain detailed, itemized cost information that shows personnel and other direct and indirect costs. The detailed budget narrative shall contain a justification for each category listed in the budget. The narrative shall clearly state how the Applicant arrived at the budget figures.

Appendices

This section shall be used to provide technical material, supporting documentation and endorsements, and shall include copies of Applicant's:

- Equal Employment Opportunity
- Position Description & Specifications
- First Source Employment Agreement
- Tax Certification Affidavit (OTR)
- Tax Certification Affidavit (DOES)

Such items may also include:

- Audited financial statement
- Indication of nonprofit corporation status
- Roster of the Board of Directors
- Proposed organizational chart for the project
- Organizational budget (as opposed to project budget)
- Letters of support or endorsements
- Staff resumes; and
- Planned job descriptions.

Request for Application Provisions

- Funding for this award is contingent on continued funding from the grantor. The RFA does not commit the Agency to make an award.
- The Agency reserves the right to accept or deny any or all applications if the Agency determines it is in the best interest of the Agency to do so. The Agency shall notify the Applicant if it rejects that Applicant's proposal.
- The Agency may suspend or terminate an outstanding RFA pursuant to its own grant making rule(s) or any applicable federal regulation or requirement.
- The Agency reserves the right to issue addenda and/or amendments subsequent to the issuance of the RFA, or to rescind the RFA.
- The Agency shall not be liable for any costs incurred in the preparation of applications in response to the RFA. Applicant agrees that all costs incurred in developing the application are the Applicant's sole responsibility.
- The Agency may conduct pre-award on-site visits to verify information submitted in the application and to determine if the Applicant's facilities are appropriate for the services intended.
- The Agency may enter into negotiations with an Applicant and adopt a firm funding amount or other revision of the Applicant's proposal that may result from negotiations.
- If there are any conflicts between the terms and conditions of the RFA and any applicable federal or local law or regulation, or any ambiguity related thereto, then the provisions of the applicable law or regulation shall control and it shall be the responsibility of the Applicant to ensure compliance.

Application Identification

PROPOSAL ORGANIZATION AND CONTENT

- This RFA will be conducted electronically using the District's Ariba E-Sourcing system (PASS). To be considered, an applicant shall submit the required attachments via the Ariba E-Sourcing system before the closing date and time. Paper, telephonic, telegraphic, and facsimile proposals may not be accepted.
- All attachments shall be submitted as a .pdf file. The District will not be responsible for corruption of any file submitted. If the submitted file cannot be viewed and printed as submitted, it will not be considered.
- Applicants are directed to the specific proposal evaluation criteria found in Section VIII- Scoring Criteria of this RFA. The applicant shall respond to each factor in a way that will allow the District to evaluate the applicant's response. The applicant shall submit

information in a clear, concise, factual and logical manner providing a comprehensive description of program supplies and services and delivery thereof to fully meet the requirements in the RFA.

- Applicants shall complete, sign and submit all Representations, Certifications and Acknowledgments as appropriate.
- In addition to the proposal submission requirements, the applicant shall submit an electronic copy of its proposal, redacted in accordance with any applicable exemptions from disclosure under D.C. Official Code § 2-534. Redacted copies of the applicant's proposal shall also be submitted electronically using the District's Ariba E-Sourcing system (PASS). D.C. Official Code § 2-536(b) requires the District to make available electronically copies of records that shall be made public. The District's policy is to release documents relating to District proposals following award of the grant, subject to applicable Freedom of Information Act (FOIA) exemption under § 2-534(a)(1). Successful proposals will be published on the OCP website in accordance with D.C. Official Code § 2-361.04, subject to applicable FOIA exemptions.
- It is solely the applicant's responsibility to ensure that it begins the upload process in sufficient time to get the attachments uploaded into the District's E-Sourcing system before the closing time. **You may use Microsoft Internet Explorer versions 6, 7, 8, 9, 10, or 11, Mozilla Firefox (esr 17 or esr 24), Safari (4 or 5), Mobile Safari (6 or 7), or Google Chrome 26 to upload the attachments.**
- An applicant may modify or withdraw its proposal via the District's E-Sourcing system at any time before the closing date and time for receipt of proposals.

Application Submission Date and Time

Applications are due no later than 2:00 p.m., on September 6, 2024. All applications will be recorded upon receipt. **Applications submitted at or after 2:00 p.m. will not be forwarded to the review panel for funding consideration.** Any additions and/or deletions to an application will not be accepted after the 2:00 p.m. deadline on September 6, 2024.

SECTION IV: PROGRAM AND ADMINISTRATIVE REQUIREMENTS

Use of Funds

Grant funds shall only be used to support activities as outlined in this RFA, and may not be used for direct financial assistance to clients and their families. Funds in year one of the grant shall support specific program-related activities for up to twelve (12) months, including costs associated with:

- Purchase of training curriculum and materials
- Staff training and certification in model being proposed
- Purchase of training-related equipment (e.g. laptops, video cameras, projectors)
- Staff who will be administering the program (specify percentage of time dedicated to program activities)

- Activities to engage children during parent participation in program activities
- Stipends for program participants
- Supportive services for program participants (specify service type, including travel tokens)
- Incentives for attendance, positive participation, homework completion, and program graduation
- Development of on-going support group for parents post-services
- Evaluation of program activities

Indirect costs shall not exceed 10% of the overall budget.

Grant Agreement

Applicant shall be required to sign a Grant Agreement prior to funds being transferred. The Grant Agreement outlines the necessary terms and conditions of the grant award. CFSA reserves the right to require modifications to the program budget prior to award. A Grant Agreement shall be finalized within two weeks of notification of intent to award.

Grant funds under the Grant Agreement shall be dispensed in payments following submission of an approved invoice for payment of grant funds. If the Grantee fails to provide the required documentation within the stated time period, the Grantor may, at its discretion, suspend funding to the program. Invoices shall be submitted according to the procedure outlined in the Grant Agreement. Failure to submit invoices as required may result in a delay of payment of grant funds.

SECTION V: ELIGIBILITY INFORMATION

Eligible Applicants

Applications will be accepted only from non-profit, community-based organizations which have demonstrated abilities to meet the needs identified in this RFA, and who are able to commit to implementing the program measures over the grant period. Governmental organizations are not eligible to apply for funding.

Organizations may partner together to offer separate but coordinated components of the program (for example, in looking to develop a mothers group and a fathers group, or a daytime group and evening group) but shall identify a lead Applicant. The lead Applicant will be responsible for identifying how the components shall be integrated, including overall program funding sources.

Successful Applicants shall demonstrate experience with the target population, including parent education or training designed to strengthen and support families and communities, as well as an understanding of child abuse and neglect prevention. Successful Applicants shall also provide services in accordance with all existing federal and District of Columbia laws, rules, and regulations, and consistent with policies, procedures and standards promulgated by the Child and Family Services Agency.

Applicants who hold current Grant Agreements or Grant Agreements with CFSA, or whose members hold current Grant Agreements or Grant Agreements with CFSA, are eligible to apply provided the services do not conflict with a current Grant or Grant Agreement. Such Applicants shall identify potential areas of conflict of interest in the delivery of services to children and families involved with CFSA, and demonstrate how services under this funding announcement shall not conflict with or compromise other existing grant or contractual obligations.

Performance Requirements

Target Population

The target populations for the home visiting and/or parent education program have been identified as follows:

- 1) Fathers of young children (0-5) deemed high-risk.
- 2) Formerly incarcerated parents
- 3) Parents experience homelessness or domestic violence
- 4) Young Latino (or immigrant) mothers ages 17-25 with children ages 0-6
- 5) Families with complexities

Preference will be given to those applicants whose services specifically support the identified target population. These families may or may not have come to the attention of CFSA at some point in the past but will not be currently involved with CFSA. All families to be served in the program shall reside in the District of Columbia. The proposed program shall be accessible and flexible to accommodate the needs of participants, including work schedules, childcare arrangements and transportation.

The target population may need support services in the following categories:

- a) Mental health services
- b) Alcohol and substance abuse treatment services
- c) Behavioral and social skills development
- d) Educational/vocational support services
- e) Individual, group and family counseling
- f) Therapeutic recreation
- g) Housing assistance

If the parent/caregiver identifies the need for any of the above or other services, the Grantee shall advocate on behalf of the parent to secure services.

Scope of Services

Applicants shall implement an evidence-based home visiting and/or parent education model with the goal of promoting family well-being and building protective factors. Grantee activities shall consist of the following in Year 1:

- Provide service navigation services.
- Provide wraparound services, when available.
- Implement at least one (1) nationally recognized, evidence-based program listed from “promising” to “well-supported” by the California Evidence-Based Clearinghouse for Child Welfare.
- Monitor and improve fidelity to the evidence-based program’s model.
- Identify strategies to improve the well-being and promote protective factors of families. Strategies shall be family-centered, based on evidence-based, evidence-informed or promising practices, and focus on family empowerment and community engagement.
- Implement data collection procedures.
- Create strategic partnerships that engage multiple sectors and community stakeholders to accomplish program goals.
- Participation in CBCAP Network⁵ to share best practices and resolve program challenges.

Grantee activities shall consist of the following in the option years:

- Provide service navigation services.
- Provide wraparound services, when available.
- Implement at least one nationally recognized, evidence-based program listed from “promising” to “well-supported” by the California Evidence-Based Clearinghouse for Child Welfare.
- Monitor and improve fidelity to the evidence-based program’s model.
- Continue to implement strategies to improve well-being and promote protective factors. Strategies shall be family-centered, based on evidence-based, evidence-informed or promising practices, and focus on family empowerment and community engagement
- Continue to create strategic partnerships that engage multiple sectors and community stakeholders to accomplish program goals.
- Develop and implement planning for sustainability of initiative beyond funding period.
- Participation in the CBCAP Network to share best practices and resolve challenges.

Cost Sharing or Match

Grantees are required to meet a non-Federal share of the project costs. Successful Applicants will initially be funded for five 12-month budget periods, pending availability of continued funding. Grant funds will be disseminated based on regular invoices submitted by the grantee to CFSA.

Grantees shall provide at least 10% of the total approved cost of the project for the first through third years of the project period and 20% of the total approved cost of the project in the fourth and

⁵ The Community-Based Child Abuse Prevention (CBCAP) Network is a local initiative of CFSA Office of Thriving Families to create a space for collaboration and shared learning specifically for CBCAP providers.

fifth years of the project period. The total approved cost of the project is the sum of the CFSA share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions. No more than 50% of the non-federal share may be in-kind.

Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of grant dollars. Cost-sharing will not be used as a preference and/or evaluation criterion in the review of applications. Grant funds shall not supplant other State or local funds currently dedicated to these services. Further, Applicants shall present a vision for sustaining the proposed project after the period of CFSA funding has ended.

SECTION VI: GENERAL PROVISIONS

Confidentiality of Records

Information concerning CFSA-involved children and families is strictly confidential and shall not be divulged to unauthorized persons (see D.C. Official Code §§ 4-1303.06 and 4-1405). The Grantee shall demonstrate an ability to maintain the confidentiality of a client's information and to report the information specified below to CFSA. Specifically, upon notification of award, the Applicant agrees to and will abide by the following conditions:

- Whoever willfully disclosed, receives, makes use of or knowingly permits the use of confidential information concerning a child or individual in violation of D.C. Official Code §§ 4-1303.06 (applies to all CFSA records) shall be guilty of a misdemeanor and upon conviction thereof shall be fined not more than \$1,000.00 (D.C. Official Code § 4-1303.07).
- All project staff, prior to engaging in work with CFSA, shall sign a confidentiality statement. Prior to service initiation, Grantees shall develop and submit a signed confidentiality statement for each current staff person who will be working under the Agreement.

HIPAA PRIVACY COMPLIANCE

For the purpose of this agreement **the Child and Family Services Agency (CFSA)**, a covered component within the District of Columbia's Hybrid Entity will be referred to as a "Covered Entity" as that term is defined by the Health Insurance Portability and Accountability Act of 1996, as amended ("HIPAA") and associated regulations promulgated at 45 CFR Parts 160, 162 and 164 as amended (the "HIPAA Regulations") and **Applicant**, as a recipient of Protected Health Information or electronic Protected Health Information from **CFSA**, is a "Business Associate" as that term is defined by HIPAA.

Terms used, but not otherwise defined, in this Agreement shall have the same meaning as those terms in the HIPAA Regulations.

1. Definitions

- a. *Business Associate* means a person or entity, who performs, or assists in the performance of a function or activity on behalf of a covered entity or an organized health care organization in which the covered entity participates, involving the use or disclosure of individually identifiable health information, other than in the capacity of a workforce member of such covered entity or organization. A business associate is also any person or organization that provides, other than in the capacity of a workforce member of such covered entity, legal, actuarial, accounting, consulting, data aggregation, management, administration, accreditation, or financial services to or for the covered entity and receives individually identifiable health information from a covered entity or another business associate on behalf of a covered entity. In some instances, a covered entity may be a business associate of another covered entity.
- b. *Covered Entity* means a health plan, a health care clearinghouse, or a health care provider who transmits any health information in electronic form in connection with a transaction covered by 45 C.F.R. Parts 160 and 164 of HIPAA. With respect to this HIPAA Compliance Clause, *Covered Entity* shall also include the designated health care components of the District government's hybrid entity or a District agency following HIPAA best practices.
- c. *Data Aggregation* means, with respect to Protected Health Information created or received by a business associate in its capacity as the business associate of a covered entity, the combining of such Protected Health Information by the business associate with the Protected Health Information received by the business associate in its capacity as a business associate of another covered entity, to permit data analyses that relate to the health care operations of the respective covered entities.
- d. *Designated Record Set* means a group of records maintained by or for the Covered Entity that are:
- The medical records and billing records about individuals maintained by or for a covered health care provider;
 - The enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or
 - Records used, in whole or in part, by or for the Covered Entity to make decisions about individuals.
- e. *Health Care* means care services, or services, or supplies related to the health of an individual. Health care includes, but is not limited to, the following:
- Preventive, diagnostic, therapeutic, rehabilitative, maintenance, or palliative care, and counseling, service, assessment, or procedure with respect to the physical or mental condition, or functional status, of an individual or that affects the structure or function of the body; and
 - Sale or dispensing of a drug, device, equipment, or other item in accordance with the prescription.
2. *Health Care Components* means a component or a combination of components of a hybrid entity designated by a hybrid entity. *Health Care Components* shall include non-covered functions that provide services to the covered functions for the purpose of facilitating the sharing of Protected Health Information with such functions of the hybrid entity without business associate agreements or individual authorizations.

- a. *Health Care Operations* shall have the same meaning as the term “health care operations” in 45 C.F.R. § 164.501.
- b. *Hybrid Entity* means a single legal entity that is a covered entity and whose business activities include both covered and non-covered functions, and that designates health care components in accordance with 45 C.F.R. § 164.105(a)(2)(iii)(C). A *Hybrid Entity* is required to designate as a health care component, any other components of the entity that provide services to the covered functions for the purpose of facilitating the sharing of Protected Health Information with such functions of the hybrid entity without business associate agreements or individual authorizations. The District of Columbia is a Hybrid Covered Entity.
- c. *Record* shall mean any item, collection, or grouping of information that includes Protected Health Information and is maintained, collected, used, or disseminated by or for the Covered Entity.
- d. *Individual* shall have the same meaning as the term "individual" in 45 C.F.R. § 164.501 and shall include a person who qualifies as a personal representative in accordance with 45 C.F.R. § 164.502(g).
- e. *Individually Identifiable Health Information* is information that is health information, including demographic information collected from an individual, and;
 - Is created or received by a health care provider, health plan, employer, or health care clearinghouse;
 - Relates to the past, present, or future physical or mental health or condition of an individual; or the past, present, or future payment for the provision of health care to an individual; and
 - That identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual.
- f. *National Provider Identifier (NPI) Rule*: "National Provider Identifier" shall mean the Standard Unique Health Identifier for Healthcare Providers; Final Rule at 45 C.F.R. Part 162.
- g. *Privacy and Security Official*. The person or persons designated by the District of Columbia, a *Hybrid Entity*, who is/are responsible for developing, maintaining, implementing and enforcing the District-wide Privacy Policies and Procedures, and for overseeing full compliance with the Privacy and Security Rules, and other applicable federal and state privacy law.
- h. *Privacy Officer*. The person designated by the Privacy and Security Official or one of the District of Columbia’s designated health care components, and who is responsible for overseeing compliance with the Covered Agency’s Privacy Policies and Procedures, the HIPAA Privacy Regulations, HIPAA Security Regulations and other applicable federal and state privacy law(s). The Covered Agency’s privacy officer shall follow the guidance of the District’s Privacy and Security Official, and shall be responsive to and report to the District’s Privacy and Security Official on matters pertaining to HIPAA compliance.

- i. *Privacy Rule.* "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. part 160 and part 164, subparts A and E.
 - j. *Protected Health Information.* "Protected Health Information" (PHI) or "Electronic Protected Health Information" (ePHI) means individually identifiable health information that is created or received by the Business Associate from or on behalf of the Covered Entity, or agency following HIPAA best practices, which is:
 - Transmitted by, created or maintained in electronic media; or
 - Transmitted or maintained in any other form or medium;
 - Protected Health Information does not include information in the records listed in subsection (2) of the definition in 45 C.F.R. §160.103.Required By Law. "Required By Law" shall have the same meaning as the term "required by law" in 45 C.F.R. § 164.103.
 - k. *Secretary.* "Secretary" shall mean the Secretary of the United States Department of Health and Human Services or his or her designee.
 - l. *Security Officer.* The person designated by the Security Official or one of the District of Columbia's designated health care components, and who is responsible for overseeing compliance with the Covered Agency's Privacy Policies and Procedures, the Security Rules, and other applicable federal and state privacy law(s). The Covered Agency's security officer shall follow the guidance of the District's Security Official, as well as the Associate Security Official within the Office of the Chief Technology Officer, and shall be responsive to the same on matters pertaining to HIPAA compliance.
 - m. *Security Rule* "Security Rule" shall mean the Standards for Security of Individually Identifiable Health Information at 45 C.F.R. part 164.
 - n. *Workforce.* "Workforce" shall mean employees, volunteers, trainees, and other persons whose conduct, in the performance of work for a covered entity or business associate, is under the direct control of such entity, whether or not they are paid by the covered entity or business associate.
3. Obligations and Activities of Business Associate
1. The Business Associate agrees not to use or disclose Protected Health Information or electronic Protected Health Information (hereinafter "PHI" or Protected Health Information") other than as permitted or required by this HIPAA Compliance Clause or as required by Law.
 2. The Business Associate agrees to comply with administrative, physical, and technical safeguards requirements in 45 C.F.R. §§ 164.308, 164.310, 164.312 and 164.316 as required by § 13401 of the HITECH ACT (February 18, 2010), to maintain the security of the Protected Health Information and to prevent use or disclosure of such Protected Health Information other than as provided for by this Clause.
 3. The Business Associate agrees to establish procedures for mitigating, and to mitigate to the extent practicable, any deleterious effects that are known to the Business Associate of a use or disclosure of Protected Health

Information by the Business Associate in violation of the requirements of this Clause.

4. The Business Associate agrees to report to Covered Entity, in writing, any use or disclosure of the Protected Health Information not permitted or required by this HIPAA Compliance Clause to the District Privacy Official or agency Privacy Officer within ten (10) days from the time the Business Associate becomes aware of such unauthorized use or disclosure.
 - a. The Business Associate agrees to ensure that any workforce member or any agent, including a subgrantor, agrees to the same restrictions and conditions that apply through this Clause with respect to Protected Health Information received from the Business Associate, Protected Health Information created by the Business Associate, or Protected Health Information received by the Business Associate on behalf of the Covered Entity.
 - b. The Business Associate agrees to provide access within five business days, at the request of the Covered Entity or an Individual, as directed by the District Privacy Official or agency Privacy Officer, or as otherwise mandated by the Privacy Rule or applicable District of Columbia laws, rules and regulations, to Protected Health Information in a Designated Record Set, to the Covered Entity or an Individual, to facilitate the District's compliance with the requirements under 45 C.F.R. §164.524.
 - c. The Business Associate agrees to make any amendment(s) within five business days to the Protected Health Information in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 CFR 164.526 in a format directed by the District Privacy Official or agency Privacy Officer in order to facilitate the District's compliance with the requirements under 45 C.F.R. §164.526.
 - d. The Business Associate agrees to use the standard practices of the Covered Entity to verify the identification and authority of an Individual who requests the Protected Health Information in a Designated Record Set of a recipient of services from or through the Covered Entity. The Business Associate agrees to comply with the applicable portions of the Applicable Agency Identity and Procedure Verification Policy, attached hereto as Exhibit A and incorporated by reference.
 - e. The Business Associate agrees to record authorizations and log such disclosures of Protected Health Information and information related to such disclosures as would be required for the Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528 and applicable District of Columbia laws, rules and regulations.
 - f. The Business Associate agrees to provide to the Covered Entity or an Individual, within five (5) business days of a request **at a mutually agreed upon location, during normal business hours, and in a format designated** by the District Privacy Official or agency Privacy Officer and the duly authorized Business Associate workforce member, information collected in accordance with Paragraph (i) of this Section above, to permit the Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in

- accordance with 45 C.F.R. § 164.528, and applicable District of Columbia laws, rules and regulations.
- g. The Business Associate agrees to make internal practices, books, and records, including policies and procedures, and Protected Health Information, relating to the use and disclosure of Protected Health Information received from the Business Associate, or created, or received by the Business Associate on behalf of the Covered Entity, available to the Covered Entity, or to the Secretary, within five (5) business days of their request and **at a mutually agreed upon location, during normal business hours, and in a format designated** by the District Privacy Official or agency Privacy Officer and the duly authorized Business Associate workforce member, or in a time and manner designated by the Secretary, for purposes of the Secretary in determining compliance of the Covered Entity with the Privacy Rule.
 - h. The Business Associate may aggregate Protected Health Information in its possession with the Protected Health Information of other Covered Entities that Business Associate has in its possession through its capacity as a Business Associate to other Covered Entities provided that the purpose of the aggregation is to provide the Covered Entity with data analyses to the Health Care Operations of the Covered Entity. Under no circumstances may the Business Associate disclose Protected Health Information of one Covered Entity to another Covered Entity absent the explicit written authorization and consent of the Privacy Officer or a duly authorized workforce member of the Covered Entity.
 - i. Business Associate may de-identify any and all Protected Health Information provided that the de-identification conforms to the requirements of 45 C.F.R. § 164.514(b). Pursuant to 45 C.F.R. § 164.502(d)(2), de-identified information does not constitute Protected Health Information and is not subject to the terms of this HIPAA Compliance Clause.
4. Permitted Uses and Disclosures by the Business Associate
- a. Except as otherwise limited in this HIPAA Compliance Clause, the Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, the Covered Entity as specified in the Grant, provided that such use or disclosure would not violate HIPAA if the same activity were performed by the Covered Entity or would not violate the minimum necessary policies and procedures of the Covered Entity.
 - b. Except as otherwise limited in this HIPAA Compliance Clause, the Business Associate may use Protected Health Information for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate.
 - c. Except as otherwise limited in this HIPAA Compliance Clause, the Business Associate may disclose Protected Health Information for the proper management and administration of the Business Associate, provided that the disclosures are Required By Law, or the Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used, or further disclosed, only as Required By Law, or for the purpose for which it was disclosed to the person, and the

- person notifies the Business Associate of any instances of which it has knowledge that the confidentiality of the information has been breached.
- d. Except as otherwise limited in this HIPAA Compliance Clause, the Business Associate may use Protected Health Information to provide Data Aggregation services to the Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B).
 - e. Business Associate may use Protected Health Information to report violations of the Law to the appropriate federal and District of Columbia authorities, consistent with 45 C.F.R. § 164.502(j)(1).
5. Additional Obligations of the Business Associate
- a. Business Associate shall submit a written report to the Covered Entity that identifies the files and reports that constitute the Designated Record Set of the Covered Entity. Business Associate shall submit said written report to the Privacy Officer no later than thirty (30) days after the commencement of the HIPAA Compliance Clause. In the event that Business Associate utilizes new files or reports which constitute the Designated Record Set, Business Associate shall notify the Covered Entity of said event within thirty (30) days of the commencement of the file's or report's usage. The Designated Record Set file shall include, but not be limited to the identity of the following:
 - i. Name of the Business Associate of the Covered Entity;
 - ii. Title of the Report/File;
 - iii. Confirmation that the Report/File contains Protected Health Information (Yes or No);
 - iv. Description of the basic content of the Report/File;
 - v. Format of the Report/File (Electronic or Paper);
 - vi. Physical location of Report/File;
 - vii. Name and telephone number of current member(s) of the workforce of the Covered Entity or other District of Columbia Government agency responsible for receiving and processing requests for Protected Health Information; and
 - viii. Supporting documents if the recipient/personal representative has access to the Report/File.
 - b. Business Associate shall provide assurances to the Covered Entity that it will continue to employ sufficient administrative, technical and physical safeguards, as described under the Security Rule, to protect and secure (the Covered Entity's) ePHI entrusted to it. These safeguards include:
 - a. The Business Associate agrees to administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the ePHI that the Business Associate creates, receives, maintains or transmits on behalf of the covered entity.
 - b. The Business Associate agrees to report to the covered entity any security incident of which it becomes aware, including any attempts to access ePHI, whether those attempts were successful or not.

- c. This Business Associate Agreement may be terminated if the covered entity determines that the business associate has materially breached the agreement.
- d. The Business Associate agrees to make all policies and procedures, and documents relating to security, available to the Secretary of HHS for the purposes of determining the covered entity's compliance with HIPAA.
- e. This agreement continues in force for as long as the Business Associate retains any access to the Covered Entity's ePHI.

- f. With respect to the subset of PHI known as electronic PHI (ePHI) as defined by HIPAA Security Standards at 45 C.F.R. Parts 160 and 164, subparts A and C (the "Security Rule"), if in performing the Services, Business Associate, its employees, agents, subgrantors and any other individual permitted by Business Associate will have access to any computer system, network, file, data or software owned by or licensed to Provider that contains ePHI, or if Business Associate otherwise creates, maintains, or transmits ePHI on Provider's behalf, Business Associate shall take reasonable security measures necessary to protect the security of all such computer systems, networks, files, data and software. With respect to the security of ePHI, Business Associate shall: (A) Implement administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the ePHI that it creates, receives, maintains, or transmits on behalf of the Provider; (B) Ensure that any agent, including a subgrantor, to whom it provides such information agrees to implement reasonable and appropriate safeguards to protect it; and (C) Report to the Provider any security incident of which it becomes aware.

- i. Business Associate agrees not to electronically transmit or permit access to PHI unless such transmission or access is authorized by this Addendum and the Agreement and further agrees that it shall only transmit or permit such access if such information is secured in a manner that is consistent with applicable law, including the Security Rule. For purposes of this Addendum, "encrypted" shall mean the reversible conversion of readable information into unreadable, protected form so that only a recipient who has the appropriate "key" can convert the information back into original readable form. If the Covered Entity stores, uses or maintains PHI in encrypted form, or in any other secured form acceptable under the security regulations, Covered Entity shall promptly, at request, provide with the key or keys to decrypt such information and will otherwise assure that such PHI is accessible by upon reasonable request.

- ii. In the event Business Associate performs functions or activities involving the use or disclosure of PHI on behalf of Covered Entity that involve the installation or maintenance of any software (as it functions alone or in combination with any hardware or other software), Business

Associate shall ensure that all such software complies with all applicable standards and specifications required by the HIPAA Regulations and shall inform of any software standards or specifications not compliant with the HIPAA Regulations.

- g. At the request of the Covered Entity, the Business Associate agrees to amend this agreement to comply with all HIPAA mandates.

6. Sanctions

Business Associate agrees that its workforce members, agents and subgrants who violate the provisions of HIPAA or other applicable federal or state privacy law will be subject to discipline in accordance with Business Associate's Personnel Policy and applicable collective bargaining agreements. Business Associate agrees to impose sanctions consistent with Business Associate's personnel policies and procedures and applicable collective bargaining agreements with respect to persons employed by it. Members of the Business Associate Workforce who are not employed by Business Associate are subject to the policies and applicable sanctions for violation of this Compliance Clause as set forth in business associate agreements. In the event Business Associate imposes sanctions against any member of its workforce, agents and subgrants for violation of the provisions of HIPAA or other applicable federal or state privacy laws, the Business Associate shall inform the District Privacy Official or the agency Privacy Officer of the imposition of sanctions.

7. Obligations of the Covered Entity

- a. The Covered Entity shall notify the Business Associate of any limitation(s) in its Notice of Privacy Practices of the Covered Entity in accordance with 45 C.F.R. § 164.520, to the extent that such limitation may affect the use or disclosure of Protected Health Information by the Business Associate.
- b. The Covered Entity shall notify the Business Associate of any changes in, or revocation of, permission by the Individual to the use or disclosure of Protected Health Information, to the extent that such changes may affect the use or disclosure of Protected Health Information by the Business Associate.
- c. The Covered Entity shall notify the Business Associate of any restriction to the use or disclosure of Protected Health Information that the Covered Entity has agreed to in accordance with 45 C.F.R. § 164.522, to the extent that such restriction may affect the use or disclosure of Protected Health Information by the Business Associate.

8. Permissible Requests by Covered Entity

Covered Entity shall not request the Business Associate to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if done by the Covered Entity.

9. Representations and Warranties.

The Business Associate represents and warrants to the Covered Entity:

- a. That it is duly organized, validly existing, and in good standing under the laws of the jurisdiction in which it is organized or licensed, it has the full power to enter into this HIPAA Compliance Clause and it, its employees, agents, subgrantors, representatives and members of its workforce are licensed and in good standing with the applicable agency, board, or governing body to perform its obligations hereunder, and that the performance by it of its obligations under this HIPAA Compliance Clause has been duly authorized by all necessary corporate or other actions and will not violate any provision of any license, corporate charter or bylaws;
- b. That it, its employees, agents, subgrantors, representatives and members of its workforce are in good standing with the District of Columbia, that it, its employees, agents, subgrantors, representatives and members of its workforce will submit a letter of good standing from the District of Columbia, and that it, its employees, agents, subgrantors, representatives and members of its workforce have not been de-barred from being employed as a grantor by the federal government or District of Columbia;
- c. That neither the execution of this HIPAA Compliance Clause, nor its performance hereunder, will directly or indirectly violate or interfere with the terms of another agreement to which it is a party, or give any governmental entity the right to suspend, terminate, or modify any of its governmental authorizations or assets required for its performance hereunder. The Business Associate represents and warrants to the Covered Entity that it will not enter into any agreement the execution or performance of which would violate or interfere with this HIPAA Compliance Clause;
- d. That it is not currently the subject of a voluntary or involuntary petition in bankruptcy, does not currently contemplate filing any such voluntary petition, and is not aware of any claim for the filing of an involuntary petition;
- e. That all of its employees, agents, subgrantors, representatives and members of its workforce, whose services may be used to fulfill obligations under this HIPAA Compliance Clause are or shall be appropriately informed of the terms of this HIPAA Compliance Clause and are under legal obligation to the Business Associate, by grant or otherwise, sufficient to enable the Business Associate to fully comply with all provisions of this HIPAA Compliance Clause. Modifications or limitations that the Covered Entity has agreed to adhere to with regards to the use and disclosure of Protected Health Information of any individual that materially affects or limits the uses and disclosures that are otherwise permitted under the Privacy Rule will be communicated to the Business Associate, in writing, and in a timely fashion;
- f. That it will reasonably cooperate with the Covered Entity in the performance of the mutual obligations under this Agreement;
- g. That neither the Business Associate, nor its shareholders, members, directors, officers, agents, subgrantors, employees or members of its workforce have been excluded or served a notice of exclusion or have been served with a notice of proposed exclusion, or have committed any

acts which are cause for exclusion, from participation in, or had any sanctions, or civil or criminal penalties imposed under, any federal or District healthcare program, including but not limited to Medicare or Medicaid, or have been convicted, under federal or District law (including without limitation following a plea of *nolo contendere* or participation in a first offender deferred adjudication or other arrangement whereby a judgment of conviction has been withheld), of a criminal offense related to (a) the neglect or abuse of a patient, (b) the delivery of an item or service, including the performance of management or administrative services related to the delivery of an item or service, under a federal or District healthcare program, (c) fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct in connection with the delivery of a healthcare item or service or with respect to any act or omission in any program operated by or financed in whole or in part by any federal, District or local government agency, (d) the unlawful, manufacture, distribution, prescription or dispensing of a controlled substance, or (e) interference with or obstruction of any investigation into any criminal offense described in (a) through (d) above. The Business Associate further agrees to notify the Covered Entity immediately after the Business Associate becomes aware that any of the foregoing representations and warranties may be inaccurate or may become incorrect

9. Term and Termination

- a. *Term.* The requirements of this HIPAA Compliance Clause shall be effective as of the date of the grant award, and shall terminate when all of the Protected Health Information provided by the Covered Entity to the Business Associate, or created or received by the Business Associate on behalf of the Covered Entity, is confidentially destroyed or returned to the Covered Entity within five (5) business days of its request. The Protected Health Information shall be returned in a format mutually agreed upon by and between the Privacy Official and/or Privacy Officer or his or her designee and the appropriate and duly authorized workforce member of the Business Associate.; If it is infeasible to return or confidentially destroy the Protected Health Information, protections shall be extended to such information, in accordance with the termination provisions in this Section and communicated to the Privacy Official or Privacy Officer or his or her designee. The requirement to return Protected Health Information to the District at the end of the grant term or if the grant is terminated applies irrespective of whether the Business Associate is also a covered entity under HIPAA. Where a business associate is also a covered entity, Protected Health Information provided by the District, or created or received by the Business Associate on behalf of the District, a duplicate of the record may be acceptable if mutually agreed.
- b. *Termination for Cause.* Upon the Covered Entity's knowledge of a material breach of this HIPAA Compliance Clause by the Business Associate, the Covered Entity shall either:
 - i. Provide an opportunity for the Business Associate to cure the breach or end the violation and terminate the Grant if the Business

- Associate does not cure the breach or end the violation within the time specified by the Covered Entity; or
- ii. Immediately terminate the Grant if the Business Associate breaches a material term of this HIPAA Compliance Clause and a cure is not possible.

If neither termination nor cure is feasible, the Covered Entity shall report the violation to the Secretary.

c. *Effect of Termination.*

- i. Except as provided in paragraph (ii) of this section, upon termination of the Grant, for any reason, the Business Associate shall return in **a mutually agreed upon format or confidentially destroy** all Protected Health Information received from the Covered Entity, or created or received by the Business Associate on behalf of the Covered Entity within five (5) business days of termination. This provision shall apply to Protected Health Information that is in the possession of ALL subgrants, agents or workforce members of the Business Associate. The Business Associate shall retain no copies of Protected Health Information in any form.
- ii. In the event that the Business Associate determines that returning or destroying the Protected Health Information is infeasible, the Business Associate shall provide to the Covered Entity notification of the conditions that make the return or confidential destruction infeasible. Upon determination by the agency Privacy Officer that the return or confidential destruction of the Protected Health Information is infeasible, the Business Associate shall extend the protections of this HIPAA Compliance Clause to such Protected Health Information and limit further uses and disclosures of such Protected Health Information for so long as the Business Associate maintains such Protected Health Information. The obligations outlined in Section 2. Obligations and Activities of Business Associate will remain in force to the extent applicable.

10. Miscellaneous

- a. *Regulatory References.* A reference in this HIPAA Compliance Clause to a section in the Privacy Rule means the section as in effect or as amended.
- b. *Amendment.* The Parties agree to take such action as is necessary to amend this HIPAA Compliance Clause from time to time as is necessary for the Covered Entity to comply with the requirements of the Privacy Rule and HIPAA. Except for provisions required by law as defined herein, no provision hereof shall be deemed waived unless in writing and signed by duly authorized representatives of the Parties. A waiver with respect to one event shall not be construed as continuing, or as a bar to or waiver of any other right or remedy under this HIPAA Compliance Clause.
- c. *Survival.* The respective rights and obligations of the Business Associate under Section 9. Term and Termination of this HIPAA Compliance Clause and Sections 9 and 20 of the Standard Grant Provisions for use with the

District of Columbia Government Supply and Services Grants, effective April 2003, shall survive termination of the Grant.

- d. *Interpretation.* Any ambiguity in this HIPAA Compliance Clause shall be resolved to permit the Covered Entity to comply with applicable federal and District of Columbia laws, rules and regulations, and the Privacy Rule, and any requirements, rulings, interpretations, procedures, or other actions related thereto that are promulgated, issued or taken by or on behalf of the Secretary; provided that applicable federal and District of Columbia laws, rules and regulations shall supersede the Privacy Rule if, and to the extent that they impose additional requirements, have requirements that are more stringent than or provide greater protection of patient privacy or the security or safeguarding of Protected Health Information than those of HIPAA and its Privacy Rule.
- The terms of this HIPAA Compliance Clause amend and supplement the terms of the Grant, and whenever possible, all terms and conditions in this HIPAA Compliance Clause are to be harmonized. In the event of a conflict between the terms of the HIPAA Compliance Clause and the terms of the Grant, the terms of this HIPAA Compliance Clause shall control; provided, however, that this HIPAA Compliance Clause shall not supersede any other federal or District of Columbia law or regulation governing the legal relationship of the Parties, or the confidentiality of records or information, except to the extent that the Privacy Rule preempts those laws or regulations. In the event of any conflict between the provisions of the Grant (as amended by this HIPAA Compliance Clause) and the Privacy Rule, the Privacy Rule shall control.
- e. *No Third-Party Beneficiaries.* The Covered Entity and the Business Associate are the only parties to this HIPAA Compliance Clause and are the only parties entitled to enforce its terms. Except for the rights of Individuals, as defined herein, to have access to and amend their Protected Health Information, and to an accounting of the uses and disclosures thereof, in accordance with Paragraphs (2) (f), (g) and (j), nothing in the HIPAA Compliance Clause gives, is intended to give, or shall be construed to give or provide any benefit or right, whether directly, indirectly, or otherwise, to third persons.
- f. *Compliance with Applicable Law.* The Business Associate shall comply with all federal and District of Columbia laws, regulations, executive orders and ordinances, as they may be amended from time to time during the term of this HIPAA Compliance Clause and the Grant; to the extent they are applicable to this HIPAA Compliance Clause and the Grant.
- g. *Governing Law and Forum Selection.* This Grant shall be construed broadly to implement and comply with the requirements relating to the Privacy Rule, and other applicable laws and regulations. All other aspects of this Grant shall be governed under the laws of the District of Columbia. The Covered Entity and the Business Associate agree that all disputes which cannot be amicably resolved by the Covered Entity and the Business Associate regarding this HIPAA Compliance Clause shall be litigated before the District of Columbia Grant Appeals Board, the District of Columbia Court of Appeals, or the United States District Court for the District of Columbia having jurisdiction, as the case may be. The Covered Entity

and the Business Associate expressly waive any and all rights to initiate litigation, arbitration, mediation, negotiations and/or similar proceedings outside the physical boundaries of the District of Columbia and expressly consent to the jurisdiction of the above tribunals.

- h. *Indemnification.* The Business Associate shall indemnify, hold harmless and defend the Covered Entity from and against any and all claims, losses, liabilities, costs, and other expenses incurred as a result or arising directly or indirectly out of or in connection with (a) any misrepresentation, breach of warranty or non-fulfillment of any undertaking of the Business Associate under this HIPAA Compliance Clause; and (b) any claims, demands, awards, judgments, actions and proceedings made by any person or organization, arising out of or in any way connected with the performance of the Business Associate under this HIPAA Compliance Clause.
- i. *Injunctive Relief.* Notwithstanding any rights or remedies under this HIPAA Compliance Clause or provided by law, the Covered Entity retains all rights to seek injunctive relief to prevent or stop the unauthorized use or disclosure of Protected Health Information by the Business Associate, its workforce, any of its subgrantors, agents, or any third party who has received Protected Health Information from the Business Associate.
- j. *Assistance in litigation or administrative proceedings.* The Business Associate shall make itself and any agents, affiliates, subsidiaries, subgrantors or its workforce assisting the Business Associate in the fulfillment of its obligations under this HIPAA Compliance Clause and the Grant, available to the Covered Entity, to testify as witnesses, or otherwise, in the event of litigation or administrative proceedings being commenced against the Covered Entity, its directors, officers or employees based upon claimed violation of HIPAA, the Privacy Rule or other laws relating to security and privacy, except where the Business Associate or its agents, affiliates, subsidiaries, subgrantors or its workforce are a named adverse party.
- k. *Notices.* Any notices between the Parties or notices to be given under this HIPAA Compliance Clause shall be given in writing and delivered by personal courier delivery or overnight courier delivery, or by certified mail with return receipt requested, to the Business Associate or to the Covered Entity, to the addresses given for each Party below or to the address either Party hereafter gives to the other Party. Any notice, being addressed and mailed in the foregoing manner, shall be deemed given five (5) business days after mailing. Any notice delivered by personal courier delivery or overnight courier delivery shall be deemed given upon notice upon receipt.

If to the Business

Associate, to:

Applicant:

Privacy Officer:

Address:

Phone:

If to the Covered Entity, to:

Child and Family Services Agency

400,6th Street S.W., Room 5023

Washington, DC 20024

Attention: Wendy Gray

Fax: 202-727-6333

- l. *Headings.* Headings are for convenience only and form no part of this HIPAA Compliance Clause and shall not affect its interpretation.

- m. *Counterparts; Facsimiles.* This HIPAA Compliance Clause may be executed in any number of counterparts, each of which shall be deemed an original. Facsimile copies hereof shall be deemed to be originals.
- n. *Successors and Assigns.* The provisions of this HIPAA Compliance Clause shall be binding upon and shall inure to the benefit of the Parties hereto and their respective successors and permitted assigns, if any.
- o. *Severance.* In the event that any provision of this HIPAA Compliance Clause is held by a court of competent jurisdiction to be invalid or unenforceable, the remainder of the provisions of this HIPAA Compliance Clause will remain in full force and effect. In addition, in the event a Party believes in good faith that any provision of this HIPAA Compliance Clause fails to comply with the then-current requirements of the Privacy Rule, such party shall notify the other Party in writing, in the manner set forth in Section 10. Miscellaneous, Paragraph k. Notices. Within ten (10) business days from receipt of notice, the Parties shall address in good faith such concern and amend the terms of this HIPAA Compliance Clause, if necessary to bring it into compliance. If, after thirty (30) days, the HIPAA Compliance Clause fails to comply with the Privacy Rule, then either Party has the right to terminate this HIPAA Compliance Clause upon written notice to the other Party.
- p. *Independent Grantor.* The Business Associate will function as an independent grantor and shall not be considered an employee of the Covered Entity for any purpose. Nothing in this HIPAA Compliance Clause shall be interpreted as authorizing the Business Associate workforce, its subgrantor(s) or its agent(s) or employee(s) to act as an agent or representative for or on behalf of the Covered Entity.
- q. *Entire Agreement.* This HIPAA Compliance Clause, as may be amended from time to time pursuant to Section 10. Miscellaneous, Paragraph b. Amendment, which incorporates by reference the Grant, and specific procedures from the District of Columbia Department of Health Privacy Policy Operations Manual, constitutes the entire agreement and understanding between the Parties and supersedes all prior oral and written agreements and understandings between them with respect to applicable District of Columbia and federal laws, rules and regulations, HIPAA and the Privacy Rule, and any rules, regulations, requirements, rulings, interpretations, procedures, or other actions related thereto that are promulgated, issued or taken by or on behalf of the Secretary.

Grantee Responsibilities

- a) The Grantee expressly agrees to comply with the principal requirements of the HIPAA Security Rule;
- b) The Grantee shall agree to immediately notify CFSA of any breach in confidentiality. Notice shall include not only an identification of all affected individuals, but also (a) a brief description of the breach; (b) the date that the breach occurred; (c) the date the Grantee discovered the breach; (d) the categories of PHI involved in the breach; (e) the status of the Grantee's investigation; and (f) the steps, if any, the Grantee has taken, or will take, to prevent a recurrence;
- c) The Grantee shall agree to restrict the use, disclosure, or request for protected health information to a "limited data set". A limited data set is defined as

protected health information that excludes a long list of identifying information regarding the individual including their name, all contact information, social security number, full face photograph, and insurance information.

Compliance

Compliance with applicable District licensing, tax laws and regulations is a prerequisite for grant award. Upon notification of grant award, the following documents shall be submitted prior to execution of the grant agreement: W-9 Form, Basic Business License, Certificate of Good Standing, Certificate of Insurance, Articles of Incorporation or proof of not-for-profit status (e.g. Internal Revenue Service Determination Letter), where applicable.

Insurance

The Applicant, when requested, shall show proof of all insurance coverage required by law and grant agreement at the time of application. The Applicant shall maintain general liability insurance, consistent with District law. The Applicant is responsible for adhering to the guidelines as defined by the District of Columbia Office of Grants and Procurement.

A. **GENERAL REQUIREMENTS.** The Grantee shall procure and maintain, during the entire period of performance under the Grant Agreement, the types of insurance specified below. The Grantee shall have its insurance broker or insurance company submit a Certificate of Insurance to the Granting Officer giving evidence of the required coverage prior to commencing performance under the Grant Agreement. In no event shall any work be performed until the required Certificates of Insurance signed by an authorized representative of the insurer(s) have been provided to, and accepted by, the Granting Officer. All insurance shall be written with financially responsible companies authorized to do business in the District of Columbia or in the jurisdiction where the work is to be performed and have an A.M. Best Company rating of A-VIII or higher. The Grantee shall require all of its sub grantees to carry the same insurance required herein. The Grantee shall ensure that all policies provide that the Granting Officer shall be given thirty (30) days prior written notice in the event the stated limit in the declarations page of the policy is reduced via endorsement or the policy is canceled prior to the expiration date shown on the certificate. The Grantee shall provide the Granting Officer with ten (10) days prior written notice in the event of non-payment of premium.

1. Commercial General Liability Insurance. The Grantee shall provide evidence satisfactory to the Granting Officer with respect to the services performed that it carries \$1,000,000 per occurrence limits; \$2,000,000 aggregate; Bodily Injury and Property Damage including, but not limited to: premises-operations; broad form property damage; Products and Completed Operations; Personal and Advertising Injury; contractual liability and independent grantors. The policy coverage shall include the District of Columbia as an additional insured, shall be primary and non-contributory with

any other insurance maintained by the District of Columbia, and shall contain a waiver of subrogation.

2. Automobile Liability Insurance. The Grantee shall provide automobile liability insurance to cover all owned, hired or non-owned motor vehicles used in conjunction with the performance of this grant. The policy shall provide a \$1,000,000 per occurrence combined single limit for bodily injury and property damage.
3. Workers' Compensation Insurance. The Grantee shall provide Workers' Compensation insurance in accordance with the statutory mandates of the District of Columbia or the jurisdiction in which the grant is performed.

Employer's Liability Insurance. The Grantee shall provide employer's liability insurance as follows: \$500,000 per accident for injury; \$500,000 per employee for disease; and \$500,000 for policy disease limit.

4. Umbrella or Excess Liability Insurance. The Grantee shall provide umbrella or excess liability (which is excess over employer's liability, general liability, and automobile liability) insurance as follows: \$1,000,000 per occurrence, including the District of Columbia as additional insured.
5. Professional Liability Insurance (Errors & Omissions). The Grantee shall provide Professional Liability Insurance (Errors and Omissions) to cover liability resulting from any error or omission in the performance of professional services under this Grant. The policy shall provide limits of \$1,000,000 per occurrence for each wrongful act and \$3,000,000 annual aggregate.

B. DURATION. The Grantee shall carry all required insurance until all grant work is accepted by the District, and shall carry the required General Liability; any required Professional Liability; and any required Employment Practices Liability insurance for five (5) years following final acceptance of the work performed under this grant.

C. LIABILITY. These are the required minimum insurance requirements established by the District of Columbia. HOWEVER, THE REQUIRED MINIMUM INSURANCE REQUIREMENTS PROVIDED ABOVE, WILL NOT IN ANY WAY LIMIT THE GRANTEE'S LIABILITY UNDER THIS GRANT.

D. GRANTEE'S PROPERTY. Grantee and sub grantees are solely responsible for any loss or damage to their personal property, including but not limited to tools and equipment, scaffolding and temporary structures, rented machinery, or owned and leased equipment. A waiver of subrogation shall apply in favor of the District of Columbia.

- E. MEASURE OF PAYMENT. The District shall not make any separate measure or payment for the cost of insurance and bonds. The Grantee shall include all of the costs of insurance and bonds in the grant price.
- F. NOTIFICATION. The Grantee shall immediately provide the Granting Officer with written notice in the event that its insurance coverage has or will be substantially changed, canceled or not renewed, and provide an updated certificate of insurance to the Granting Officer.
- G. CERTIFICATES OF INSURANCE. The Grantee shall submit certificates of insurance giving evidence of the required coverage as specified in the Insurance Section prior to commencing work. Evidence of insurance shall be submitted to:

Agency Chief Granting Officer
Child and Family Services Agency
955 L'Enfant Plaza, SW, North Building, Suite 5200
Washington, DC 20024

The Grantee shall require their insurance carrier of the required coverage to waive all rights of subrogation against the District, its Monitors, employees, agents, volunteers, grantors and subgrantors.

Audits

Each Grantee shall have an annual audit performed by an auditor who is independent from the staff person who authorizes expenditure of project funds. Whenever an audit shows that expenditures not allowable under the grant have been charged to the grant or that the Grantee has otherwise failed to discharge its obligation to account for the expenditure of grant funds, the Grant Officer shall disallow the expenditure of the funds.

At any time or times within the next twenty-four (24) months, CFSA may request the successful Applicant's expenditure statements, source documentation, and other audited financial records. By submission of their application, Applicants agree to comply with 29 DCMR § 8213.1 which requires grantees to maintain documents for three years from grant close-out.

Nondiscrimination in the Delivery of Services

In accordance with Title VI of the Civil Rights Act of 1964 (Public Law 88-352), as amended, no person shall, on the grounds of race, color, religion, nationality, sex, or political opinion, be denied the benefits of, or be subjected to discrimination under any activity receiving these grant funds.

SECTION VII: PROGRAM SCOPE

Program Description

The home visiting and parent education programs shall foster an environment that permits parents/caregivers to gain knowledge in order to provide stable, safe and consistent support to their children and families. The program will focus on a selected target population listed as *Target Population* in Section V. The program shall serve to prevent the entry of families into the District's child welfare system through the provision of intensive long-term home and community-based

primary prevention services. This prevention program seeks to improve the well-being of families, increasing protective factors and reducing the risk of child abuse and neglect. This program will offer families immediate alternatives and easy access to services which may, over time, contribute to a reduction in the incidence of reports of child abuse and neglect, particularly among populations that traditionally have not been able to access services to meet their needs.

Applicants shall include innovative approaches including but not limited to; video technology, motivational interviewing, tailored curriculum, and activities for ongoing engagement based on an evidence-based or evidence-informed model. For the purpose of this program, the families receiving services will be monitored to determine whether the services provided have an impact on the incidence of child maltreatment, and parent and child outcomes. The Protective Factors Survey – 2 will be used to monitor program impact for all program participants. Preference will be given to those approaches that demonstrate on-going support for families after initial services have ended. Our hope is that the parents and families who utilize these services will gain the skills and supports they need to maintain safe and stable environments for themselves and their children.

We are interested in organizations that can provide services in a variety of ways (for example, home- and community-based), that are targeted, and programs that differ in their intensity. Services shall be accessible and provided at no cost to participants. Applicants shall ensure consistency and confidentiality for families and demonstrate sensitivity to the special needs of those receiving services.

Applicants shall demonstrate how programs will develop parent leadership and parent engagement. Applicants are required to participate and implement activities for Family Strengthening Month (April) as part of their grantee fulfillment.

Applicants shall provide a target population component and be explicit as to how they will develop and engage the target population in services as well as sustain their involvement after programs cease. Grants are capacity-building grants and organizations are required to demonstrate how they will sustain the proposed programs beyond the period of CFSA funding. Grant funding awarded under this project may not be used to supplant existing programs. Applicants shall demonstrate how grant funding supports the expansion or enhancement of existing services, or the development of new programs, and meets an identified need in the target population. If the proposed model is one component of a larger program, the Applicant shall clearly describe their overall program budget and demonstrate alternative funding sources.

Program Objectives

The objective of home visiting and parenting education is to enhance the community capacity to address the basic and complex needs of families, build protective factors, and contribute to the healthy, positive and productive functioning of children and youth into adulthood. CBCAP program interventions aim to support the stability of at-risk families, and thus, specifically 1) the prevention of child abuse and neglect, and 2) improvement in child and family well-being.

Applicant Responsibilities/Scope of Work

In its proposal, the Applicant shall demonstrate the ability to provide services tailored to the target populations under the grant. In meeting those ends, the Applicant shall meet, at a minimum, the following requirements:

1. The Applicant's program shall be designed to meet the capacity requirements as outlined in the Program Scope.
2. Nothing in this RFA shall conflict with any existing agreement between Applicant and the District of Columbia Child and Family Services Agency. Further, nothing in this RFA relieves Applicant of any agreement or obligations with CFSA (see SECTION V: ELIGIBILITY INFORMATION).

The successful Applicant shall also:

1. Complete three (3) hours of orientation provided by CFSA, which includes.
 - Background on the population of children served by CFSA.
 - Elements of child abuse and neglect.
 - The laws surrounding confidentiality and privacy.
 - Written materials summarizing the training.
2. Comply with the administrative, reporting and evaluation requirements of a grant agreement.
3. Abide by the confidentiality laws of the District, and not discuss the fact that the child is involved with the system with anyone other than the child's social worker or CFSA staff.
4. Report suspected child abuse and neglect to 202-671-SAFE (CFSA's Hotline).
5. Show and maintain proof of insurance that meets the requirements set forth herein.
6. Comply with 29 DCMR, Chapter 82.

The provisions of this Grant Agreement shall be governed and construed under the laws and regulations of the District of Columbia. The Grantee agrees to comply with the confidentiality statutes in the D. C. Official Code, as well as other applicable child protection laws including, but not limited to, mandated reporter statutes.

Staff Requirements

The Applicant shall retain and maintain documentation that its staff, including volunteers, possesses adequate training and competence to perform the duties to which they have been assigned.

The Applicant shall maintain a complete written job description covering any positions funded in whole or in part through the grant, which shall be included in the project files and be available for inspection on request. The job description shall include education, experience, and/or licensing/certification criteria, a description of duties and responsibilities, hours of work, salary range and/or stipend allowance, and performance evaluation criteria. If hiring staff for this grant project, the Applicant shall obtain written documentation of work experience and personal references.

The Applicant shall maintain an individual personnel file for any project staff member, which will contain the application for employment, references, applicable credentials/certifications, and documentation of all training received, notation of any allegations of professional or other misconduct, Applicant's action with respect to the allegations, and the date and reason if terminated from employment or the grant program. All of these personnel materials shall be made available to the Grants Monitor upon request.

The Applicant shall ensure that all employees, volunteers, consultants and sub-grantors have been cleared through the Child Protection Registry and the Police Department of the jurisdiction(s) in which the staff member or volunteer resided during the five years prior to employment under this grant, as well as cleared through the District of Columbia Metropolitan Police Department, and the jurisdiction in which they will be providing services.

Training

The Applicant will be responsible for ensuring staff training and development are, at minimum, in compliance with licensure regulations and CFSA guidelines. The Applicant shall ensure training to program staff and volunteers including, but not limited to: relevant child welfare topics; child abuse and neglect prevention; the provision of community-based services; child development; topics relevant to engaging non-custodial fathers, engaging parents, family-centered services, conflict resolution and parent-child interaction; confidentiality and HIPAA compliance.

Performance Standards and Quality Assurance

The Applicant shall monitor and evaluate all program activities. At a minimum, a semi-annual program evaluation shall include a review of the appropriateness, quality and timeliness of each service, as well as achievement of program objectives.

The Applicant shall be responsible for specific documentation of services provided to parents and families, and updates on both progress, as well as any issues which may arise. The Applicant shall involve the participants in the development of project evaluations. When feasible, grant activities shall reflect concerns and suggestions offered by the program participants.

Monitoring

CFSA shall monitor and evaluate the performance of the Applicant according to the scope of work and related service delivery standards set forth in the Grant Agreement. The Grant Monitor or her designee will make periodic scheduled meetings with the Applicant to discuss the scope of work in relation to the services rendered to the target populations, and the relative success thereof.

Successful Applicants shall participate in CFSA-sponsored activities including, but not limited to, grant entry and exit conferences, and regular site visits. In addition, Grantees shall regularly present to CFSA and community groups on the specifics of their funded programs, including the status of services provided and outreach to increase client participation.

Evaluation

Applicants shall provide a narrative addressing how the conduct of the project and the results of the project will be evaluated over the five year period. Grantees will regularly update their Grant Monitor about ongoing evaluation activities and findings in required progress reporting Successful

Applicants shall participate fully in any CFSA-initiated or required program evaluations. In each subsequent year, an evaluation of all services provided under the grant agreement during the previous fiscal year shall be completed. Prior to the annual evaluation, CFSA and the Grantee shall jointly define the terms of the evaluation. This evaluation will continue in succeeding periods under the terms of the grant agreement.

Disciplinary Action

Grantees shall, upon discovery, discipline or terminate any staff found to be in violation of the District’s drug and alcohol policy. In addition, Grantees shall document supervisory actions, conferences and personnel evaluations.

SECTION VIII: REVIEW AND SCORING OF APPLICATIONS

Applicant Review Panel

All grant applications will be reviewed by a panel. The review panel is comprised of qualified professionals who have been selected for their expertise and knowledge of the child protection system, and the needs of the populations specific to this RFA. The review panel will review, score (using the criterion and technical rating scale referenced below) and rank each Applicant's proposal. Upon completion of its review, the panel shall make recommendations for awards based on the scoring process. The Agency Chief Contracting Officer (ACCO) or a designee shall make the final funding determination(s).

The grants will be awarded to the responsible vendor whose application is most advantageous to the District, based upon the evaluation criteria specified below. While the points in the evaluation criteria indicate their relative importance, the total scores will not necessarily be determinative of the award. Rather, the total scores will guide the District in making an intelligent award decision based upon the evaluation criteria.

Technical Rating

The Technical Rating Scale is as follows:

<u>Numeric Rating</u>	<u>Adjective</u>	<u>Description</u>
0	Unacceptable	Fails to meet minimum requirements; e.g., no demonstrated capacity, major deficiencies which are not correctable; offeror did not address the factor.
1	Poor	Marginally meets minimum requirements; major deficiencies which may be correctable.
2	Minimally Acceptable	Marginally meets minimum requirements; minor deficiencies which may be correctable.
3	Acceptable	Meets requirements; no deficiencies.

4	Good	Meets requirements and exceeds some requirements; no deficiencies.
5	Excellent	Exceeds most, if not all requirements; no deficiencies.

Scoring Criteria

Applicant proposal submissions will be reviewed and scored based on the following scoring criteria:

Criterion 1- Community Need (25 points)

- Corresponds to Sections: Introduction and Needs Assessment
- The extent to which the application:
 - provides a comprehensive and clear explanation of the proposed project ensuring alignment with the request for applications (RFA). This alignment demonstrates a thorough understanding of the project’s purpose and scope;
 - Clearly incorporates an evidence-based model, as well as the curriculum to be utilized, including the frequency and type of training/activities, as well as group/peer support that will be part of the approach to service delivery;
 - Demonstrates long-term engagement and continued support for families after services have ended;
 - Demonstrates an understanding of the needs in their community as expressed in the needs assessment section of the application.

Criterion 2: Work Plan (35 points)

- Corresponds to Sections: Project Description and Work Plan
- The extent to which the application:
 - Clearly identifies the program target population and how that population will be engaged and recruited into the program;
 - Demonstrates clear understanding of target population and ability to meet their specific needs;
 - Provides a clear and detailed description of how service navigation will be implemented
 - Provides a clear and detailed description of how wraparound services and support will be offered
 - Clearly identifies at least one nationally recognized, evidence-based program listed as “promising” to “well-supported by the California Evidence-Based Clearinghouse for Child Welfare, and provides a detailed description of how this program will be implemented throughout the duration of the grant;
 - Demonstrates strategies to improve the well-being and promote protective factors of families;

- Demonstrates strategic partnerships that engage multiple sectors and community stakeholders to accomplish program goals;
- Proposes home visiting and/or parent education program objectives that are SMART (Specific, Measurable, Achievable, Relevant, and Time-Framed);
- Includes a work plan that is a logical and realistic plan of action for timely and successful achievement of objectives that support program goals; and
- Demonstrates that the proposed plan provides a foundation for sustainability of efforts beyond the projected funding period

Criterion 3: Community Impact (10 points)

- Corresponds to Sections: Work Plan
- The feasibility and effectiveness of plans for dissemination and scaling of project results; the extent to which project results may drive the agenda for improving family well-being; and the degree to which the project activities are replicable to additional communities;
- The proposed program evaluation includes the impact of services provided to the target population;
- The application includes a clear and definitive plan to evaluate the project's effectiveness and determine the extent to which objectives and resulting outcomes are accomplished.

Criterion 4: Organizational Capabilities (25 points)

- Corresponds to Sections: Partnerships, and Organizational Information
- This section is to describe the extent to which the applicant is capable of fulfilling the goals and objectives set forth and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. Also, consider the extent to which the applicant demonstrates:
 - The qualifications of the project personnel (by training and/or experience) to implement and carry out the project;
 - The extent to which key personnel have adequate time devoted to the project to achieve project objective.
 - The experience of project personnel with systems development and leadership, family well-being;
 - Experience and past successes working collaboratively with government agencies and non-government organizations from a variety of sectors to implement social service and/or public health initiatives aimed to advance family success; and
 - Participation of other partners in the project (e.g., Healthy Start, Home Visiting; WIC; housing; public-private early childhood partnerships; and businesses) that support children's developmental health and family well-being.

Criterion 5: Budget Allocation (5 points)

- Corresponds to Sections: Budget and Budget Justification Narrative
- The reasonableness of the proposed budget for the project period in relation to the objectives, the complexity of the activities, and the anticipated results.
 - The extent to which costs, as outlined in the budget and required resources sections, are reasonable given the scope of work.

Decision on Awards

The recommendations of the review panel are advisory only and are not binding on the Director of CFSA. The final decision on awards is vested solely with the Director of CFSA or his designee. After reviewing the recommendations of the review panel and any other information considered relevant, the Director of CFSA, or his designee, shall decide which Applicant(s) to award funds and the amount(s) to be funded. CFSA reserves the right to divide the grant award among multiple Applicants, in any amount. The award may reflect overall numbers, or be target population specific.

The Agency reserves the right to accept or deny any or all applications if the Agency determines it is in the best interest of the Agency to do so. CFSA shall notify the Applicant in writing if its proposal is not selected for grant award.

Anticipated Announcement and Award Dates

Selection and notification of grant award is anticipated to occur no later than **September 25, 2024**. Notification of intent to award shall be sent directly from the Agency's Grants and Procurement Administration only. Execution of a grant agreement is expected by or before **October 1, 2024**.

ATTACHMENT B - APPLICANT PROFILE

Home Visitation #DCRL-2024-U-0074

Application is made for a grant under the above-mentioned Grant to the District of Columbia in the amount of and for the purpose stated herein. I certify that is application is true to the best of my knowledge, and if awarded the application will conform to the conditions set forth by the Agency of which this request is granted.

Applicant/Organization Name:

Type of Entity: For-Profit _____ Non-Profit _____ Other _____

Contact Person: Executive Director/President _____

Office Address:

Phone/Fax:

Email address:

Website URL:

Total Number to Be Served By Project: _____

Total Funds Requested:

Program Description:

Authorized Signature: The person signing below, who is an executive officer, is authorized by the Applicant to submit this application and has the legal authority to bind the Applicant to the expressed and inferred agreements herein.

ATTACHMENT C - STAFFING PLAN

The applicant's staffing plan shall be submitted (*no template provided*). The staffing plan shall describe staff qualifications and include type and number of FTEs.

ATTACHMENT D - BUDGET

Applicants shall also submit a Budget Narrative that explains in narrative form each proposed item in the attached budget, to the extent that such explanation or itemization is not already included in an attachment to a particular budget schedule. The Budget Narrative is an essential part of the budget submission.

Agency:
Date of Submission:

Project Manager:
 Telephone #:
 Email Address:

Category	Budgeted Amount	Justification (Narrative)
Personnel		
Fringe Benefits		
Travel/transportation		
Supplies/Minor Equipment		
Training		
Communications		
Childcare		
Occupancy Cost		
Other Direct Costs		
Indirect/overhead*		
Total:		

*** Indirect costs shall not exceed 10% of the overall budget and shall include the following categories:**

- Administration:** general organizational administration not associated with carrying out the specific service. This typically includes the salary, fringe benefits and overhead associated with a central administrative office. It includes a variety of activities not identifiable with program functions, but which are indispensable to their conduct and to the organization’s corporate existence.
- Financial Management:** the services of a qualified accountant and / or bookkeeper necessary to carry out the identified service. (Note: If financial management services are included in the “Administration” line, above, leave this line blank).

3. **Audit:** the services of a qualified auditing organization to determine that all-accounting principles were followed in managing the finances associated with delivering the identified service. (Note: If audit services are included in the “Administration” or “Financial Management” lines, above, leave this line blank).
4. **Other Indirect/Overhead:** any other costs required for delivering the identified service, which can neither be classified in one of the above three indirect/overhead categories, nor in an “Other Direct Cost” category on Schedule 10. Attach explanation and documentation.

Submission of required budget information certifies the following:

- That the Applicant is able to maintain adequate files and records and can and will meet all reporting requirements;
- That all fiscal records are kept in accordance with Generally Accepted Accounting Principles (GAAP) and account for all funds, tangible assets, revenue, and expenditures whatsoever; that all fiscal records are accurate, complete and current at all times; and that these records will be made available for audit and inspection as required;
- That the Applicant is current on payment of all federal and District taxes, including Unemployment Insurance taxes and Workers’ Compensation premiums. This statement of certification shall be accompanied by a certificate from the District of Columbia Office of Tax and Revenue (OTR) stating that the entity has complied with the filing requirements of District of Columbia tax laws and has paid taxes due to the District of Columbia, or is in compliance with any payment agreement with OTR;
- That the Applicant has the demonstrated administrative and financial capability to provide and manage the proposed services and ensure an adequate administrative, performance and audit trail;
- That the Applicant has the financial resources and technical expertise necessary for the production, construction, equipment and facilities adequate to perform the grant or sub grant, or the ability to obtain them; and,
- That the Applicant has the ability to comply with the required or proposed delivery or performance schedule, taking into consideration all existing and reasonably expected commercial and governmental business commitments.

As the duly authorized representative of the applications, I hereby certify that the Applicant will comply with the above Certifications.

Authorized Representative /Signature and Title

Date

ATTACHMENT E – STATEMENT OF CERTIFICATION

As the duly authorized representative of the applicant organization, the truth of which is sworn or attested to by the applicant, I hereby certify the following:

- a) The individuals, by
 - a) Name: _____
 - b) Title: _____
 - c) Address: _____
 - d) Phone number: _____who are authorized to negotiate with the Agency on behalf of the organization;
- b) That, if required by CFSA, the Applicant is able to secure a bond, in an amount not less than the total amount of the funds awarded, against losses of money and other property caused by fraudulent or dishonest act committed by any employee, board member, Monitor, partner, shareholder, or trainee;
- c) That the Applicant is not proposed for debarment or presently debarred, suspended, or declared ineligible, as required by Executive Order 12549, “Debarment and Suspension,” and implemented by 2 CFR 180, for prospective participants in primary covered transactions and is not proposed for debarment or presently debarred as a result of any actions by the District of Columbia Grant Appeals Board, the Office of Granting and Procurement, or any other District grant regulating Agency;
- d) That the Applicant has a satisfactory record performing similar activities as detailed in the award or, if the grant award is intended to encourage the development and support of organizations without significant previous experience, that the Applicant has otherwise established that it has the skills and resources necessary to perform the grant;
- e) That the Applicant has a satisfactory record of integrity and business ethics;
- f) That the Applicant has the necessary organization, experience, accounting and operational controls, and technical skills to implement the grant, or the ability to obtain them;
- g) That the Applicant is in compliance with the applicable District licensing and tax laws and regulations;
- h) That the Applicant complies with provisions of the Drug-Free Workplace Act;
- i) That the Applicant meets all other qualifications and eligibility criteria necessary to receive an award under applicable laws and regulations; and
- j) The grantee agrees to indemnify, defend and hold harmless the Government of the District of Columbia and its authorized Monitors, employees, agents and volunteers from any and all claims, actions, losses, damages, and/or liability arising out of this grant or sub grant from any cause whatsoever, including the acts, errors or omissions of any person and for any costs or expenses incurred by the District on account of any claim therefore, except where such indemnification is prohibited by law.

Authorized Representative/Signature and Title

Date

ATTACHMENT F – ACKNOWLEDGEMENT

Applicable District and Federal Statutes and Regulations Acknowledgement

The Grantee shall comply with all applicable District and Federal Statutes and regulations as may be amended from time to time including but not necessarily limited to:

- The Americans with Disabilities Act of 1990, Pub. L. 101-336, July 26, 1990, 104 Stat. 327 (42 U.S.C. 12101 et seq.)
- Rehabilitation Act of 1973, Pub. L. 93-112, Sept. 26, 1973, 87 Stat. 355 (29 U.S.C. 701 et seq.)
- The Hatch Act, Chap. 314, 24 Stat. 440 (7 U.S.C. 361 a et. seq.)
- The Fair Labor Standards Act, Chap. 676, 52 Stat. 1060 (29 U.S.C.201 et seq.)
- The Occupational Safety and Health Act of 1970, Pub. L. 91-596, Dce. 29, 1970, 84 Stat. 1590 (26 U.S.C. 651 et.seq.)
- The Hobbs Act (Anti-Corruption), chap 537, 60 Stat. 420 (see 18 U.S.C.Sec.201)
- Equal Pay Act of 1963, Pub. L. 88-38, June 10, 1963, 77 Stat.56 (29 U.S.C.201)
- Age Discrimination Act of 1975, Pub. L. 94-135, Nov. 28, 1975, 89 Stat. 728 (42 U.S.C.6101 et.seq.)
- Military Selective Service Act of 1973
- Title IX of the Education Amendments of 1972, Pub. L. 92-318, June 23, 1972, 86 Stat.235, (20 U.S.C. 1001)
- Immigration Reform and Control Act of 1986, Pub. L. 99-603, Nov 6, 1986, 100 Stat. 3359, (8 U.S.C. 1101)
- Executive Order 12459 (Debarment, Suspension and Exclusion)
- Medical Leave Act of 1993, Pub. L. 103-3, Feb. 5, 1993, 107 Stat. 6 (5 U.S.C. 6381 et seq.)
- District of Columbia Language Access Act of 2004, DC Law 15-414, D.C. Official Code_Sec.2-1931 et seq.)
- Lobbying Disclosure Act of 1995, Pub. L. 104-65, Dec 19, 1995, 109 Stat. 693, (31 U.S.C. 1352)

As the duly authorized representative of the application, I hereby certify that the Applicant will comply with the above Certifications, Licenses and Assurances

Authorized Representative Signature and Title

Date